

Date: Wednesday 28<sup>th</sup> September, 2011

Reference number: 0014/11

**Subject: Critical Milestones in lead up to launch & test system availability**

- Critical Milestones in the lead up to launch
- Availability of production and test systems during October

**Critical Milestones in the lead up to launch**

This week marks the final week of Co-ordinated Participant Testing (CPT) as we move into the final stages of readiness for market launch. To assist launch partners we have prepared the following table showing key dates and milestones:

Scheduled Dates	Critical Milestone	Comments
30 September	End CPT	
30 September	<a href="#">Provide signed Attestation Statements</a> (Secure Link)	Mandatory requirement for all trading participants and market data vendors that wish to participate on the go-live date.
7 October	AOP certification	ASIC's cutoff date for processing AOP certifications. Any applications lodged after this date may not be considered in time for market launch. To determine whether your AOP systems require recertification, please refer to <a href="#">ASIC's Guidance</a> .
7 October	<a href="#">Trading Participant Applications lodged</a> (Secure Link)	Applications to become a Chi-X trading participant lodged after this date may not be processed in time for market launch.
10 October	Final system configuration changes	Any requests for changes to your configuration in Chi-X systems should be provided by COB on this date to allow sufficient time for the changes to be implemented prior to the system lockdown. This includes cancel on disconnect instructions. Note cancel on disconnect is the default setting. If you wish to override this default setting, please complete the <a href="#">appropriate form</a> (Secure Link). Please contact Market Operations for further information concerning system configuration changes.
21 October	<a href="#">Signed Order Form for access to Chi-X infrastructure</a> (Secure Link)	Chi-X is preparing order forms based on requested system configurations. These will be sent to launch partners as you confirm your final configurations. Please ensure any internal reviews or sign-offs are completed as a priority to expedite signing of the Order

		Form. Any Order Forms lodged after this date may not be processed in time for market launch.
21 October	Clearing Participants: CHESSE attestation	This is the cutoff date announced by ASX Clear for Clearing Participants to complete an attestation statement. Attestations lodged on or before 21 October will be processed in time for our launch. Those lodged afterwards will be dealt with on a best endeavours basis. Once this is completed, please advise Chi-X: <a href="mailto:jason.keady@chi-x.com">jason.keady@chi-x.com</a>

### Availability of production and test systems during October

The production environment will be available from 7-24 October for further testing. Please note that during the period 7-12 October Chi-X will be undertaking a series of blind tests to ensure operational readiness and customers may experience occasional service disruption. The system will be locked down from 25-30 October and unavailable for further testing. From 8am to 12 noon on 29 October participants and vendors can use their production systems to establish connectivity only.

The Participant Test Environment (PTE) will be available in October.

Kind regards,

**Chi-X Australia**

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